

Classical Deviation: Organizational and Individual Status as Antecedents of Conformity

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Beside making organizations look like their peers through the adoption of similar attributes (which we call alignment), this paper highlights the fact that conformity also enables organizations to stand out by exhibiting highly salient attributes key to their field or industry (which we call conventionality). Building on the conformity and status literatures, and using the case of major U.S. symphony orchestras and the changes in their concert programing between 1879 and 1969, we hypothesize and find that middle-status organizations are more aligned, and middle-status individual leaders make more conventional choices than their low- and high-status peers. In addition, the extent to which middle-status leaders adopt conventional programming is moderated by the status of the organization and by its level of alignment. This paper offers a novel theory and operationalization of organizational conformity, and contributes to the literature on status effects, and more broadly to the understanding of the key issues of distinctiveness and conformity.

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Abstract

Beside making organizations look like their peers through the adoption of similar attributes (which we call alignment), this paper highlights the fact that conformity also enables organizations to stand out by exhibiting highly salient attributes key to their field or industry (which we call conventionality). Building on the conformity and status literatures, and using the case of major U.S. symphony orchestras and the changes in their concert programing between 1879 and 1969, we hypothesize and find that middle-status organizations are more aligned, and middle-status individual leaders make more conventional choices than their low-and high-status peers. In addition, the extent to which middle-status leaders adopt conventional programming is moderated by the status of the organization and by its level of alignment. This paper offers a novel theory and operationalization of organizational conformity, and contributes to the literature on status effects, and more broadly to the understanding of the key issues of distinctiveness and conformity.

Academy of Management Journal

Distinctiveness and conformity are core interests for researchers of organization and management studies. Distinctiveness enables firms to gain sustainable competitive advantages (Porter, 1996), to disrupt entire industries through innovation (Christensen, 1997), and to develop new identities and styles (Lounsbury & Glynn, 2001; Sgourev & Althuizen, 2014). However, conformity is required, as audiences are more likely to accept an organization's offering when it exhibits known and reassuring features (Hargadon & Douglas, 2001; Hsu, Hannan, & Koçak, 2009). Moreover, excessive distinctiveness leads to incommensurability; that is, when audiences face extreme distinctiveness, the lack of a comparison baseline makes it difficult to evaluate the offering's quality (Espeland & Sauder, 2007). Accounts of the distinctiveness-conformity tradeoff usually put the spotlight on emblematic innovative organizations (e.g., General Electric, Toyota, Google) or on visionary individuals (e.g., Edison, Jobs, Gates). The leading explanation for an organization's tendency to conform or distinguish itself from its peers is based on status, as low- and high-status organizations have less to lose from lack of conformity than middle-status organizations (Phillips and Zuckerman, 2001). Yet, research has tended to focus on the factors that set some actors apart from their peers, rather than on how actors subtly conform to various imperatives (Durand & Jourdan, 2012; Durand, 2006). This paper offers a renewed conceptualization of organizational conformity and of its status antecedents.

Existing approaches in organization and management studies offer an incomplete view of conformity. They conceive of organizational conformity as a source of legitimacy and associate conformity with similarity across organizations. In this view, through isomorphism, organizations tend to look alike and act similarly, which, in turn, legitimizes their actions, protects them from negative evaluations, and increases their chances of survival (Deephouse, 1999; Oliver, 1991; Phillips & Zuckerman, 2001; DiMaggio & Powell, 1983). Studies of

organizational conformity explain precisely why deviating organizations suffer penalties and convincingly show that evading the prescriptions of existing product or market categories leads to detrimental consequences (Hannan, Polos, & Carroll, 2007; Hsu et al., 2009). However, these studies focus essentially on the negative side of normative prescriptions and overlook their enabling role as guidelines for distinctive behaviors: organizations may conform selectively by overplaying certain features while also introducing novelty (Durand, Rao, & Monin, 2007; Kim & Jensen, 2011). Understanding that there may be different forms of conformity requires revisiting the middle status conformity theory to explain better why and how some organizations are more likely to conform than others.

Building on prior works, we define two forms of conformity—alignment and conventionality—and analyze how they are influenced by actors' status. The first form, *alignment*, refers to the proximity of an organization to its peers across a range of attributes. Consistent with prior research, the motivation for alignment comes from an organization's need to look like its peers and thereby avoid penalties. The second form, *conventionality*, refers to the selective adoption of highly salient and expected attributes. The organization that exhibits conventionality stands out relative to its peers by over-emphasizing certain widely recognizable features in its offering. An organization's motivation for conventionality relies on it choosing to present and offer identifiable and comparable features, a form of quality test that rewards the presence of signature elements. For example, in our empirical setting, when an orchestra's programming choices are close to its peers', it exhibits alignment; when it chooses to perform canonical composers more often than its peers, it exhibits conventionality.

Drawing on the main proposition that middle-status actors tend to conform more than low-status or high-status actors (Phillips & Zuckerman, 2001), we investigate the role of both organizations' and individual leaders' status on the likelihood that an organization will be

Academy of Management Journal

more aligned and/or conventional. Regarding alignment, we follow the middle-status conformity hypothesis based on the fear of penalties, and expect that middle-status actors will conform more than their counterparts because they have more to lose from sanctions. Regarding conventionality, we hypothesize that middle-status actors are more likely to seek social comparison to attest to their competence. Conventionality enables them to reap positive rewards based on quality recognition. In contrast, high-status actors can afford to disregard quality comparisons, whereas low-status leaders try to avoid them. Furthermore, the fact that individual leaders are more likely to capture positive rewards and less likely to incur penalties than organizations (because of audiences' outcome ascription bias) creates a motivational asymmetry: we expect that the individual leader's status has a stronger effect on conventionality while the organization's status has a stronger effect on alignment. Using the U.S. symphony orchestras setting (1879–1969), we develop novel empirical operationalizations of alignment and conventionality, and find as expected that although orchestra status effectively explains alignment (we find a curvilinear relationship), musical director status is a better predictor of conventionality (also a curvilinear relationship). Moreover we show that the role of director status in explaining conventionality increases when the orchestra status is low and when alignment at the orchestra level is low.

These findings contribute to three streams of research. First, this study advances research on organizational conformity by distinguishing between two previously conflated forms of conformity and operationalizing them both. It refines the middle-status conformity theory and shows that normative pressures primarily orient organizations toward aligned behaviors and individual leaders toward conventional behaviors. Organizations not only conform to blend in with their peers but also to seek quality comparisons. Second, we contribute to status research by studying simultaneously organization and individual status

and their joint effects on conformity. Third, our distinction between alignment and conventionality has implications for the literature on deviance and distinctiveness, as misaligned behaviors can be conventional. The resulting integrated theory of conformity and additional empirical measures invite reconsiderations of some established findings in these important lines of research.

TWO FORMS OF CONFORMITY: ALIGNMENT AND CONVENTIONALITY

Conformity with normative prescriptions is a precondition for organizational legitimacy (DiMaggio & Powell, 1983). However, legitimacy through conformity can be obtained in two distinct ways. First, organizations can conform by *aligning* with all the characteristics of the group and abiding by the group's norms (Hannan et al., 2007; Ody-Brasier & Vermeulen, 2014; Phillips & Zuckerman, 2001; Phillips et al., 2013). Here, conforming pushes the organization to abide by categorical membership norms, or more broadly to follow a type, prototype, or code prevalent in its field or industry (Hsu et al., 2009; Sharkey, 2014). This form of conformity has spurred research emphasizing the penalties associated with misalignment: when an organization violates membership norms or when its attributes position it too far out of the mainstream, audiences are more likely to ignore the organization, question its offering, and discount it as illegitimate (Hsu, 2006; Negro, Koçak, & Hsu, 2010).

Second, organizations can conform by exhibiting—and prominently displaying—not all the expected attributes but only those that are highly recognizable and key to their field or industry, which audiences can then attend to, compare, and assess. For an organization, emphasizing these most highly distinctive features, expresses a form of conformity that we call *conventionality* (see also Kim & Jensen, 2011). Audience members are likely to consider

Academy of Management Journal

actors that exhibit a limited number of highly recognizable attributes as conforming with their own normative expectations (Durand & Paolella, 2013; Espeland & Sauder, 2007; Hsu & Grodal, 2014; Vergne & Wry, 2014). Displaying such characteristics is central to an audience recognizing a producer's competence and quality (Sgourev & Althuizen, 2014). For example, when a restaurant offers dishes emblematic of a revered culinary school or when a mutual fund exhibits the most typical portfolio characteristics (e.g., Durand et al., 2007; Smith, 2011), they signal their willingness to comply with existing culinary or investment norms, while opening opportunities to distinguish themselves from their peers on other attributes (Brewer, 1991; Deephouse, 1999; DiMaggio & Stenberg 1985; Rao et al., 2005).

These two forms of conformity—alignment and conventionality—are analytically distinct. Whereas *aligned* organizations blend in by adopting all the attributes of their peers, *conventional* organizations stand out by exhibiting only their peers' most salient features and increasing the prominence of those features in their offerings. When organizations fall short of perfect alignment (i.e., fail to look or act perfectly alike), they can emphasize or downplay a limited number of salient features in an effort to orient their choices in more or less conventional directions. Reciprocally, for a given display of conventionality, by emphasizing salient features, organizations may choose to adopt or reject other traits that may lead to their blending in with or distancing from their peers, such that they establish and present more or less aligned profiles. However, owing to the finite number of traits or attributes that an organization can choose, alignment and conformity have a conceptual relationship: the more aligned an organization, the less it can stand out by appearing particularly committed to a few key, expected attributes and features.

This distinction between alignment and conventionality helps to further separate the legitimacy from the quality drivers of conformity – a central distinction for research on the

role of categorical membership for organizations (Hsu et al., 2009; Negro & Leung 2013). Organizations conform not only to signal their attachment to group norms but also to derive quality recognition. This distinction is critical because, in extant research, misaligned organizations have been routinely treated as deviant; we argue on the contrary, that some misaligned organizations may in fact be conventional. For example, Durand et al. (2007) show that some restaurants both preserve and violate established norms concurrently, allowing them to accrue rewards for their deviant positioning. Similarly, Smith (2011) shows that mutual funds can differentiate themselves successfully by selecting attributes that depart from those featured by their competitors; far from becoming overlooked, non-conformist funds draw considerable attention from investors and can achieve superior capital inflows. However, these studies neither disentangle the two forms of conformity, nor do they explain why some organizations more readily decide to blend in or to stand out.

Two different motivations may drive towards distinct forms of conformity: the fear of penalties and the quest for rewards.¹ First, because sanctions may be imposed on organizations that fit poorly into existing categories or deviate excessively from the mainstream, such penalties may lead to organizations choosing to align as a preventative response. For instance, misaligned organizations are routinely discarded (Zuckerman, 1999), face defiance about their offering (Negro et al., 2010), and suffer from reduced prices (Hsu et al. 2009; Ody-Brasier & Vermeulen, 2014), whereas such penalties are typically avoided by organizations that are aligned with their peers. Second, the opportunity for actors to gain recognition as producers of quality suggests that conventionality can bring positive rewards. Since conventionality implies committing to some salient features, actors thus allocate their

¹ Penalties and rewards are not symmetrical: penalties entail more than the absence of rewards, and rewards entail more than the absence of penalties. As our historical material will show for instance, a penalty usually takes the form of negative critiques, not just the absence of a reward (e.g. absence of positive critiques).

Academy of Management Journal

efforts and resources to areas where quality is more readily assessable and comparable; in this way, conventionality helps actors pass (and surpass) a quality threshold.

Although alignment is primarily driven by penalty avoidance (Durand & Paolella, 2013; Hannan et al., 2007; Hsu et al., 2009; Negro et al., 2010) and conventionality is primarily driven by positive rewards (Durand et al, 2007; Kim and Jensen, 2011), these two motivations are not necessarily mutually exclusive when organizations adopt alignment and conventionality strategies. Still, alignment is not an effective way of obtaining rewards since by definition, alignment places the focal organization at parity with its peers. By contrast, choosing conventionality is not an effective way of avoiding penalties, as it makes the organization stand out, which renders mistakes more egregious. We briefly present our setting before developing our hypotheses regarding the influence of organizational (orchestra) and individual (musical director) status on alignment and conventionality.

EMPIRICAL SETTING: THE MAJOR U.S. ORCHESTRAS (1879–1969)

To study the determinants of alignment and conventionality, we analyze the programming choices of major U.S. symphony orchestras from 1879 to 1969. Permanent symphony orchestras emerged in the United States in the late nineteenth century, as the established local elites attempted to both elevate public taste and separate so-called classical music from popular music in a strategy aimed at social distinction (Levine, 1988). Although popular concerts sometimes included orchestral ensembles, they typically involved eclectic mixes of short pieces, overtures, marches, dances, or arias designed primarily to entertain audiences (Weber, 2001). In contrast, the definition of classical music, which centered on a narrow set of canonical (mostly Austro-German) composers, was tied to the institutionalization of the symphony orchestra in the last decades of the nineteenth century, as an organizational form

capable of endowing musical ensembles with autonomy from market demands and thereby promoting highbrow musical tastes.

Wealthy individuals and local urban elites found that the most effective way of ridding orchestra repertoires of their popular content and increasing performance quality was to establish orchestras as organizations whose budgets did not rely solely on ticket sales. "Cultural capitalists" (DiMaggio, 1982) such as Henry Lee Higginson (a Boston-based stockbroker and philanthropist) and Charles Norman Fay (who headed several Chicago utilities companies) founded permanent, non-profit symphony orchestras in, respectively, Boston (1881) and Chicago (1890). In terms of music programming, Higginson's aim was to "eschew vulgar music, i.e. such trash as is heard in theatres, sentimental or sensational nonsense" (quoted in Howe, 1914: 29). The Boston and Chicago symphony orchestras became models copied across the country (Horowitz 2005; Levine 1988). Such orchestras focused exclusively on a limited repertoire of serious works and composers; moreover, by offering regular concerts throughout the season and thus providing musicians with permanent employment (and sometimes prohibiting them from seeking outside engagements), more time could be spent in rehearsal, which led to the performing of more challenging pieces and improvements in overall performance quality. For these orchestras and their musical directors then, choosing the musical programming included responding to a smoldering question: to what extent did they need to align with the programming choices of their peers in their efforts to blend in and avoid sanctions? Could they attempt to appear conventional, rather than being aligned, and stand out relative to their peers?

Programming Choices and the Dominant Role of the Musical Director

Over our period of study, the internal structure of U.S. symphony orchestras has remained remarkably unchanged: the musical director,² appointed by and reporting directly to the board of trustees, is solely responsible for artistic decisions (concert programming, interpreting works, conducting performances, hiring or firing musicians), and the board is in charge of the financial health of the organization (Glynn, 2005). In theory, the artistic power of the maestro could be restricted by the orchestra's board; but, in practice, musical directors enjoy great autonomy. Board members generally refuse to let their tastes influence programming, as Higginson declared:

All the catholicity seems to me good. I do not like Wagner's "music", and take little interest in much of the newer composers, but I should not like to bar them out of our programs. The extent to which Wagner has been played from the very beginning is a token of the entire freedom with which [musical directors] have made their programs. (quoted in Howe, 1914: 30)

Far from being specific to Boston, this high level of musical director's autonomy was widely shared in the orchestra world: musical directors assumed full control of their programs and could afford to present programs counter to the tastes of their audiences in their pursuit of higher artistic aims—thus giving orchestra repertoires the appearance of a supply-driven market. When asked to include lighter and more popular pieces in his Chicago Symphony Orchestra programs, Theodore Thomas (the Chicago Symphony's first musical director) boldly stated: "Those who cannot enjoy the great music, poor fellows, I do not grudge what they can enjoy.... I will play for them now and then, but it is not for [the] Tell Overture and Handel's Largo that Chicago supports my orchestra. One does not buy a Krupp canon to shoot sparrows" (Levine, 1988: 118). Similarly, Max Rudolf (musical director of the Cincinnati Symphony from 1958 to 1970) declared in an interview:

² We refer to conductors acting in their capacity as musical director, music director, principal conductor, or chief conductor as "musical directors." The titles differ by orchestra, but the roles and functions are equivalent.

My board has never told me what I should or should not play. We have discussed certain reactions on the part of subscribers and newspapers, but they do not exert the slightest degree of pressure. (quoted in Swoboda, 1967: 63–64).

Social Comparisons and Deviations from Programming Norms

The musical directors' full authority and control over programming choices, with relative autonomy from board pressures, does not imply that orchestras and musical directors are unaffected by either the reception of their performances or the programming choices made by their peers and rivals. Although musical directors' choices might not be coerced, they are shaped by the directors' understanding of the field-level rules of the game. The symphony orchestra field is fraught with social comparisons, leading to orchestras being compared by critics and audiences, especially when orchestras tour the country in an effort to keep their musicians employed past the regular season in their home cities. For example, Wilhelm Gericke (who directed the Boston Symphony from 1884 to 1889) recalls the stakes on February 14, 1887, when his orchestra first performed in New York:

In those days, all New York music-lovers were great admirers of Theodore Thomas and the New York Philharmonic Orchestra, who had every reason to be thus admired. So the standard in New York was a high one.... There is no doubt that this first success in New York affected greatly the Boston audience; from that moment, the Boston Symphony Orchestra began to stand on solid ground. The members of the Orchestra began to feel that they belonged to an artistic corporation of first rank. (quoted in Howe, 1914: 112–113)

Social comparisons consistently focus on two distinct elements: repertoire breadth and performance quality. Indeed, a motley repertoire risks being misaligned and dismissed by critics, whereas a focused and canonical repertoire generates positive expectations triggering quality comparisons. First, critics and concertgoers evaluate and compare the breadth of concert offerings of multiple orchestras, and routinely disparage orchestras whose programming choices are misaligned. Musical directors and orchestras receive criticism for performing uninteresting pieces that seem unfit for a classical program, such as when, in

Academy of Management Journal

1894, the Boston Symphony performed a piece from the English composer Arthur Sullivan's 1878 opera *HMS Pinafore*, which was deemed "wholly out of place in an entertainment presumably serious" (New York Times, February 9, 1894). Systematic criticisms of orchestra programming choices often point specifically to the local idiosyncrasies of one orchestra's programs relative to others; in condemning the programs of the New York Philharmonic and New York Symphony, *The New York Times* critic Olin Downes asked, "Why cannot the local New York orchestras, like those of other cities, give programs which have variety, balance and inclusiveness?" (March 23, 1924). Similarly, a scathing 1956 *New York Times* review criticized the Philharmonic's programs for seeming "haphazard"—and although the orchestra and its director, Dimitri Mitropoulos, were credited for having performed important works of modern composers during the current season, some of their choices were viewed as difficult to justify: "Schoenberg, Berg, Shostakovich, Prokofiev have fared well, but so have Morton Gould and Rolf Liebermann, who do not deserve that much attention" (*The New York Times*, April 29, 1956). Through this mechanism of social comparison, misaligned programming choices are likely to be lambasted, providing reason for orchestras to align.

Second, social comparisons focus not only on repertoire breadth but also on performance quality, as audiences compare the same compositions as performed by different musical directors and orchestras. This leads musical directors and orchestras to select highly conventional musical programs and to over-represent certain canonical works and composers (Peyser, 2000). This tendency became especially visible with the rise of "star conductors" after World War I, when some orchestras, unable to hire or retain a permanent musical director, relied on multiple guest conductors for entire seasons (Lebrecht, 1991). As Philip Hart observes: "For some conductors, it may be easier to spend their time jetting from one engagement to another and devoting their study time to performing their interpretation of the surefire repertory" (1973: 458). A conventional repertoire presents advantages for the musical director and to a lesser degree the orchestra. Musical directors are more likely to be praised for their commitment to high-quality performances, which enhances their reputation and draws larger fan audiences—while minimizing their time spent rehearsing with the orchestra (Arian, 1971: 24–25). Orchestras also benefit, as such programming decisions deliver financial benefits. As a disillusioned *New York Times* critic noted: "Two birds can be killed with one stone: the orchestra which could play the Fifth Symphony in its sleep will not require extra rehearsals and the orchestra will sell tickets" (March 23, 1924).

Musical directors thus can exercise high discretion in determining which works and which composers to perform, but need to take into account both their orchestra's capabilities and concert-programming norms. Depending on their status, social comparison pressures do not apply equally to all individual leaders and organizations, which raises questions about whether and why organizations adopt more or less aligned or conventional behaviors.

HYPOTHESES

Our analysis focuses on status as the main antecedent of organizational conformity. In this section, Hypotheses 1 and 2 relate status to alignment and conventionality for individual and organizational actors alike, whereas Hypothesis 3 distinguishes between individual-level and organizational-level status effects.

With regard to alignment, our baseline hypothesis comes from Phillips and Zuckerman's (2001) middle-status conformity theory. Actors conform to norms to blend in with their peers and avoid any sanction associated with violations of membership norms or, more broadly, deviation from mainstream attributes. For example, the norm for a financial analyst not to issue a sell recommendation on a firm's stock is based on the fear of losing

Academy of Management Journal

future access to information on that firm (Phillips & Zuckerman, 2001); similarly, the norm for a corporate law firm not to move into family law practice is rooted in the principle that law firms must be fully dedicated to their corporate clients' interests (Phillips et al., 2013). Likewise, in the field of symphony orchestras, following concert programming norms when choosing which works or composers to feature helps minimize negative responses from audiences. Actors in the field were deeply aware of this alignment imperative. For example, Frederick Stock, who held the longest appointment as musical director in the history of the Chicago Symphony Orchestra—from 1905 to 1943, confessed spending "endless time pondering over the problem of program-making" (Ewen, 1943: 133–134); his programming choices were notoriously balanced.

However, some actors find it easier to distance themselves from prototypical choices; the middle-status conformity hypothesis predicts that high-status actors can be misaligned without fearing sanctions, because of the stability of their position (Phillips & Zuckerman 2001; Sharkey, 2014) and because they benefit from greater control and visibility (Podolny, 2005;; Sauder, Lynn & Podolny, 2012). As a result, these actors can evade the normative constraints and differentiate themselves. They also typically express self-confidence in their ability to undertake bold changes, although complacency could negatively affect their performance (Bothner, Smith & White, 2010; Bothner, Kim & Smith, 2012). For example, after a performance of Shostakovich's Fifth Symphony in Boston, which critics found "banal, vulgar, thin," and "unoriginal," "Serge Koussevitzky [one of the most revered conductors of his time] countered with his usual weapon, stating: 'I will keep repeating the music until the critics realize its greatness'. And he did" (Johnson, 1950: 154). At the other end of the hierarchy, low-status actors have insecure positions and low control and visibility. Yet they too can transgress existing norms and avoid pure alignment: they have little fear of sanctions

because they have little to lose. Thus, low-status orchestras may seek to surprise audiences by adopting misaligned choices because their low status reduces the conformity pressures they face. In contrast, middle-status players face greater pressures to align. Unlike high-status actors, they cannot afford to defy expectations; and unlike low-status actors, they have more to lose from negative audience evaluations. As a result, we expect:

Hypothesis 1. Middle-status actors exhibit higher levels of alignment than high- and lowstatus actors.

The middle-status conformity perspective relies on a two-stage model in which the audience first grants membership to a candidate based on compliance with membership norms, and in a second step evaluates the quality of the subset of full-fledged members (Phillips & Zuckerman, 2001). While extremely fruitful, this model only considers negative penalties (through lack of attention) as drivers of conformity, and fails to consider the quest for positive rewards as a motivation for conformity. Our approach relaxes this assumption and applies more broadly to cases in which audiences may also evaluate categorical membership and quality concurrently. Conformity does not only enable actors to blend in and avoid sanctions; by conforming selectively, actors also seek relative gains, in the form of quality recognition. In particular, if audiences can evaluate an organization's offering based on a small number of highly expected, and emblematic attributes, exhibiting such salient features can lead to beneficial consequences, such as critical acclaim, celebrity, and profit (Durand et al., 2007; Hayward, Rindova & Pollock, 2004; Lebrecht, 1991). While alignment provides limited positive reward prospects (whereby the organization blends in and reaches parity with other group members at best), conventionality is a more effective way for actors to gain recognition as quality producers because of its focus on highly salient, comparable, and easily assessable activities (Sauder, 2008; Espeland & Sauder, 2007). However, conventionality is not risk-free

Academy of Management Journal

because standing out on a few core features entails the risk of failing the quality test.

When actors conform in an effort to maintain or increase their perceived quality, they tend to adopt more conventional behaviors, which implies a more systematic focus on the norms' most salient elements. For example, orchestras and musical directors could choose to allocate a greater portion of their repertoires to Mozart's and Beethoven's symphonies, rather than attempting to perform less frequently played music, for two reasons. First, audience members (both critics and concertgoers) can easily recognize and evaluate the performance quality of frequently heard masterpieces and then compare them against other orchestras' performances. Second, concentrating on such concert program staples enables orchestras and musical directors to spend less time and effort in rehearsal but still reach the desired level of quality because the musicians are trained in and accustomed to performing these canonical pieces (Arian, 1971). Still, committing to conventional choices can be a double-edged sword as imperfections in the quality become more obvious.

As with our alignment prediction, we expect actors to adopt conventional or unconventional choices as a function of their status. Because high-status actors are unlikely to achieve significant gains by making conventional choices, they may be more prone to disregard conventions, as audiences already interpret their status as a signal of competence (Rao et al., 2005; Podolny, 2005). As their commitment and ability to deliver high quality are unquestioned, high-status actors tend to display fewer expected attributes and more unexpected ones (Rao et al., 2005). In turn, they attempt to earn rewards in excess of those they could garner by continuing to play only the most salient composers. Furthermore, among all actors, high-status actors pay less attention to financial constraints and are less likely to limit themselves to common programming choices that are economically more efficient. Their disregard for convention and their resulting relatively bolder strategies are likely to generate superior rewards in the form of higher visibility and positive evaluations (Smith, 2011; Waguespack & Sorenson, 2011). For instance, Leopold Stokowski (who joined the Philadelphia Orchestra in 1912 after a short tenure at the Cincinnati Symphony) did not hesitate to respond directly to critics—or to confront hissing concertgoers during concerts (as during the first New York performance of Arnold Schoenberg's *Variations for Orchestra Op. 31; The New York Times*, October 23, 1929).

At the other end of the spectrum, low-status actors could benefit from conventional choices but face prohibitive costs in competing head-to-head against their established peers. Instead they seek to avoid direct quality comparisons with higher-status actors, both because they lack the inherent resources and capabilities to perform the classics to the same high quality and because competing on quality would be excessively costly. We therefore expect low-status actors to adopt unconventional programming in the same way as high-status actors do, but for a different reason: comparison avoidance. In his survey of conductors in the United States, *Dictators of the Baton* (1943), David Ewen describes the programming choices of Hans Kindler, the first, little-known musical director of the National Symphony Orchestra in Washington, DC:

Kindler has instituted in Washington one of the most extensive repertoires known by any of our younger orchestras: more than 700 [pieces], of which a fair proportion are novelties rarely to be heard elsewhere. And this extensive and varied repertoire extending from transcriptions of music by the venerable Frescobaldi to a new work by the young American, William Schuman played with dignity and an appreciation for style. Kindler may not rise to those empyrean heights to which some other conductors may soar, but at the same time it can also be said that he never descends to the depths of cheapness, superficiality, or sensationalism. (251–252)

Similarly, the Seattle Symphony, a low-status orchestra until the mid-1960s (a touring

British conductor in 1941 described it as located in a "cultural dustbin"), could not compete against high-status orchestras on performance quality alone. As reviews from this period indicate, the orchestra lacked the "precision," "reliability," and "richness" found in major

Academy of Management Journal

orchestras (*The New York Times*, October 9, 1968). Despite his personal preference for the nineteenth-century Romantic repertoire, musical director Milton Katims adopted unconventional programs to grab the attention of music critics, on the criterion of originality rather than quality (Lehmann, 1992; Seltzer, 1975).

In contrast with both higher- and lower-status actors, middle-status actors should be more likely to adopt conventional repertoires and represent the classical canon, in their efforts to signal or prove to audiences their commitment to high quality. Middle-status actors can focus on conventional choices at acceptable costs, with greater potential gains than would be available to higher- or lower-status actors. First, middle-status actors have better capabilities than low-status actors to compete on quality, by focusing on the performance of canonical works that are guaranteed to generate attention and to be evaluated. Second, compared with high-status actors, middle-status actors are more likely to be sensitive to the potential economic benefits of conventional programming. For example, Eugene Ormandy had middlelevel status when he was appointed musical director of the Philadelphia Orchestra in 1936; though he had enjoyed some success and recognition while directing the Minneapolis Symphony since 1931, he was never cited as one of the great musical directors of the time, unlike Stokowski, his predecessor in Philadelphia. Early in his tenure, Ormandy faced both questions about whether he would be able to maintain the quality of his orchestra (the socalled Stokowski sound, for which Philadelphia had become famous) and challenges to his programming choices (Kupferberg, 1970). In response, he decided to focus initially on conventional pieces, later characterized by the Orchestra Board Association in these terms: "No stunts, no loud noises, nothing to offend.... The audience was genuinely pleased to hear a program of beautiful music instead of foundry imitations or noises from the nethermost depths" (quoted in Arian, 1971: 19). As these examples suggest, middle-status actors adopt

conventional programming choices to compete on perceived performance quality, in contrast with both high-status actors who can afford to disregard convention and low-status actors who seek to avoid direct quality comparison. We thus hypothesize that:

Hypothesis 2. Middle-status actors exhibit higher levels of conventionality than high- and low-status actors.

While Hypothesis 1 and Hypothesis 2 apply both to organizational and individual actors, Hypothesis 3 refines the previous hypotheses by distinguishing the levels of analysis where status is most likely to affect organizational alignment and conventionality. We base our reasoning on the bias in outcome ascription that leads to a motivation asymmetry between the organization and its individual leader.

Audiences ascribe outcomes differently to organizations and individual leaders. In particular, prior research has shown that attributions of success tend to be individual-based. That is, CEOs, chefs, and musical directors receive praise for the actions they take at the helm of their organizations, whereas negative outcomes are more often attributed to organizations (Hayward et al., 2004; Rao et al., 2005). In particular, Hayward et al. (2004) predict that the ascription of positive outcomes to individual leaders is particularly likely when audiences perceive organizational choices as distinctive and consistent. In our setting as in many others, audiences praise musical directors for successes and blame orchestras for flops (Lebrecht, 1991). For example, Artur Rodzinski was credited for having managed, between 1933 and 1943, to transform the Cleveland Orchestra, an "unimpressive ensemble ... of provincial stature," with "marked defections in various departments" into "one of the great orchestras" in the United States (Ewen, 1943: 225, 229). In a context in which audiences ascribe outcomes differently to organizations and individual leaders, positive rewards and negative sanctions do not affect organizational and individual actors equally. Rewards are highly individualized and

Academy of Management Journal

centered on musical directors. For example, *Time Magazine*'s cover first featured a musical conductor, Arturo Toscanini, in 1926. Star conductors that benefitted from such media attention could tour worldwide and play with local orchestras to impress a public that tended to attribute the quality of the performance to the maestro's genius, while blaming any weaknesses on the orchestra's deficiencies (Peyser, 2000). As can be found in other settings, penalties affect individual leaders comparatively less than they affect organizations; criticism is not limited to an individual leader or a single product or performance but rather contaminates the whole organization (Vergne, 2012). This difference in the way in which audiences ascribe outcomes to organizations and individual leaders influences how penalties and rewards affect orchestras and musical directors. Figure 1 shows a stylized representation of individual- and organizational-level payoffs of audiences' negative and positive evaluations: orchestras are unable to reap the full benefits of positive rewards but are more deeply affected by negative evaluations, while musical directors are relatively protected from negative evaluations (sanctions) but benefit disproportionately from praises.

[INSERT FIGURE 1 ABOUT HERE]

When individual leaders and organizations face conformity pressures, differences in outcome ascription create a motivation asymmetry, and individuals and organizations respond to conformity pressures differently. More precisely, alignment tends to smooth the differences between organizations and decrease penalties (to which organizations are more sensitive), while conventionality allows quality recognition and rewards (from which individual leaders are more likely to benefit). Because individuals and organizations experience penalties and rewards differently, the interest of middle-status individual leaders is to conform by seeking conventionality, whereas the interest of middle-status organizations is to conform through alignment. Empirical studies in behavioral economics have demonstrated the existence of motivation asymmetry in such situations, where individuals benefit from positive gains but are relatively protected from negative sanctions: the individuals' objectives become disconnected from the objectives of the organizations to which they belong (Tirole, 1988). As a consequence, decision makers have incentives to undertake bolder moves than their organizations would call for (Chevalier & Ellison, 1997, 1999; on the banking industry, see also McNamara & Bromiley, 1997). Individual leaders are therefore more likely to conform to normative pressures by focusing on limited and selected actions that will highlight them relative to their peers, as a way to reap positive rewards based on quality demonstrations (conforming through conventionality). Conversely, individual leaders are less motivated to conform by blending in, as a way to avoid sanctions (conforming through alignment).

Drawing on outcome ascription differences and motivation asymmetry, we deduce that individual leaders' characteristics have a greater effect on conventionality than organizational characteristics, and reciprocally for alignment. It then follows that the musical director's status mainly influences conformity as conventionality because directors are structurally more interested in and are more likely to benefit directly from quality comparisons. By contrast, on average, orchestra status mainly affects conformity as alignment because the penalties associated with misaligned programming apply more directly to orchestras than to musical directors, whereas the rewards tend to be more appropriated by individuals than by collectives. Hence:

Hypothesis 3a. The effect of organizational status on alignment is stronger than that of individual status.

Hypothesis 3b. The effect of individual status on conventionality is stronger than that of organizational status.

DATA AND METHOD

We test our hypotheses by analyzing the concert programming decisions of symphony orchestras in the United States. We use comprehensive repertoire data collected from 27 of the 30 major orchestras³, as defined by the American Symphony Orchestra League in 1972 (i.e., with budgets exceeding \$1 million; Mueller 1973; CPANDA 2008). For each orchestra, the data includes the total number of annual performances of each piece by each composer since its founding (e.g., 1842 for the New York Philharmonic, 1960 for the Milwaukee Symphony Orchestra) and up to 1969. Data on musical director tenures came from the *Directory of American Symphony Orchestras* (Craven 1986).

Although these data focus on a limited number of professional orchestras with access to significant resources prior to the 1970s, we find significant variance in their visibility and recognition, with major orchestras directly competing for critics' and concertgoers' attention against other world-class orchestras (New York Philharmonic, Boston Symphony), and more recently founded orchestras that, at some point of their history, had difficulty reaching more than local audiences (Milwaukee Symphony, Utah Symphony). Still, over our period of study, audiences (concert goers and critics) did not contest the definition of the genre and shared similar expectations: the field of major symphony orchestras was entirely dedicated to the performance of serious classical music and was largely united despite status differences.⁴ **Dependent Variables.** To determine orchestras' levels of alignment and conventionality, we evaluated each orchestra's repertoire programming decisions relative to the programming of

³ This dataset has been analyzed in previous work on cultural innovation and the transformation of the musical canon over time (Dowd et al., 2002; Kremp, 2010). Although we use some of the same control variables (director tenure, number of performances) and fixed effects (decade, orchestra, director), our dependent and independent variables of interest do not appear in these prior studies.

⁴ For example, even a low status orchestra such as the Utah Symphony modeled itself on world-class orchestras like the Boston or Chicago Symphony (Swoboda 1969). Maurice Abravanel (Utah's musical director in the 1950s and 1960s) had connections with the most renowned conductors of his time, such that Bruno Walter and Wilhelm Furtwängler had recommended him for a temporary position at the Metropolitan Opera.

all other orchestras in the same season. We constructed two distinct measures to operationalize alignment and conventionality in each season. First, alignment is the closeness of each orchestra's repertoire to the repertoires of other orchestras. We computed the sum of squared distances between (1) the proportion of the orchestra's total number of performances devoted to each composer whose works were performed by any orchestra during the season, and (2) the proportion of other orchestras' performances of each composer's works. More precisely, we calculate this distance d_i for every season with the following equation:

$$d_i = \sum_c (\frac{n_{ic}}{N_i} - \frac{n_{oc}}{N_o})^2$$

where n_{ic} is the number of performances by orchestra *i* of works by composer *c* (including repeat performances of the same pieces); N_i is the total number of performances during the season by orchestra *i* of works by any composer; n_{oc} is the total number of performances by *other* orchestras of works by composer *c*; and N_o is the number of performances by *other* orchestras of works by any composer.

To construct repertoire alignment, we scaled this distance measure by d_i^{max} (i.e., maximum possible distance between an orchestra's repertoire and other orchestras' repertoires) and computed the negative of the logged scaled distance:

$$\operatorname{alignment}_{i} = -\log \frac{d_{i}}{d_{i}^{max}}$$

This measure has a zero lower bound (for orchestras approaching the maximum possible distance between their repertoires and those of other orchestras) and takes higher values as the distance d_i gets smaller and the orchestra's repertoire becomes more aligned with the average repertoires of all other orchestras. The log transformation reduces the influence of alignment values on the lower end of the distribution. Our results remain unchanged without the log transformation.

Academy of Management Journal

Second, conventionality captures the extent to which the orchestra's programming choices focus on a subset of highly salient composers emblematic of the field. In other words, conventionality can be defined as a systematic bias toward programming choices that are already widely shared and accepted. To measure this bias in orchestras' programming decisions for each season, we analyzed the extent to which an orchestra's programming decisions co-varied with the choices made by its peers. We regressed the composer shares of each orchestra's annual performances on the composer shares of performances at other orchestras:

$$\frac{n_{ic}}{N_i} = \hat{a_i} \cdot \frac{n_{oc}}{N_o} + \hat{b_i} + u_{ic} \qquad \text{with} \quad E(u_{ic}) = 0$$

The slope of the regression line \hat{a}_i can be interpreted as a measure of conventionality:

conventionality_i
$$\equiv \hat{a_i} = \frac{\operatorname{cov}(\frac{n_i}{N_i}, \frac{n_o}{N_o})}{\operatorname{var}(\frac{n_o}{N_o})}$$

If an orchestra seems to devote a relatively larger share of its program in a given season to works of composers that also account for large shares of the performances by other orchestras, the slope of the regression line exceeds 1, indicating an above-average commitment to canonical composers. Conversely, a slope less than 1 implies that the orchestra performs less frequently works by composers who account for large shares of the repertoires of others; that is, it shows a below-average commitment to canonical composers.

Table 1 shows that, empirically, alignment and conventionality are uncorrelated measures (r = .02). Independent of orchestras' alignment, the direction of their repertoire deviations, as measured by conventionality, is equally likely to be biased toward works by popular composers (higher conventionality, values exceeding 1) or toward those of rarely performed composers (below 1).

Figure 2, Panels a and b, provide graphical illustrations of our operationalization of alignment and conventionality. We plot the share of various composers in a focal orchestra's repertoire, against the share of those composers in the aggregate repertoire of all other orchestras, in a given season. Panel a compares two equally conventional orchestras with different levels of alignment, where the alignment measure is a function of the distances between each composer's position and the 45-degree line. On average, the Baltimore Symphony Orchestra's choices were less aligned than the Cleveland Orchestra's during 1944-1945, such that Baltimore's programs leaned heavily toward some composers (popular ones such as Brahms and Bach, but also less popular ones such as Mussorgsky and Henri Duparc) and discounted others (e.g., Beethoven, Wagner, Strauss). These choices do not reveal any bias toward more or less frequently performed composers, so Baltimore's choices were neither conventional nor unconventional, yet they were clearly dissimilar from the choices made by other orchestras, indicating a low level of alignment. In contrast, Cleveland stuck more closely to the average programming choices of its peers (we can observe that the vertical lines representing distances are shorter hence closer to the diagonal line than for Baltimore.) Panel b instead depicts two repertoires with similar alignment but high and low levels of conventionality, according to the slope of the regression line. The steeper slope of the regression line for the San Francisco Symphony Orchestra indicates that its programming choices were more conventional, in that it performed works by the most popular composers (Beethoven and Mozart) considerably more often than did other orchestras in 1964–1965. In contrast, Baltimore gave more space to less frequently performed composers, such as Mussorgsky, and it performed Beethoven, Mozart, and Brahms less often.

[INSERT FIGURES 2a AND 2b HERE]

Independent Variables. To operationalize orchestra status, we constructed a network measure of centrality that captures the orchestra's prescription capacity in the field, through the introduction and adoption of new composers (whose works had not yet been played by any orchestra). For every season, we created an inter-orchestra diffusion network of newly introduced composers, such that the nodes are orchestras i and j, and the value of ties v_{ijt} is a function of the total number of composers entering the repertoire of orchestra j after they were first introduced to the field's repertoire by orchestra i, as well as the speed at which the new composers introduced by orchestra i were incorporated into orchestra j's repertoire:

$$v_{ijt} = \sum_{c \in C_i} \mathbf{1}_{cjt} \cdot \frac{\max(5 - (t - t_c), 0)}{5}$$

where *i* is the "introducer" orchestra; C_i is the set of composers introduced to the repertoire by that orchestra; *j* is the "adopter" orchestra; *t* is a season; *t_c* is the season during which orchestra *i* premiered composer *c*; and 1_{cjt} equals one if composer *c* entered the repertoire of orchestra *j* during season *t*, and zero otherwise. Adopting a composer already premiered by an introducer orchestra increases the tie value, but this increase depends on the timing of the adoption and declines steadily as the time since the composer was first introduced passes, to the point where it becomes null if adoption occurs five years or more after the introduction. In other words, a high v_{ijt} value indicates that the introducer orchestra's recent innovative programming choices were rapidly adopted by the adopter orchestra.

To measure *orchestra status*, we use Bonacich and Lloyd's (2001) eigenvector-like measure of centrality for non-reciprocal ties based on the cumulative diffusion networks of new composers over the previous 25 years.⁵ This measure of centrality captures two dimensions of status: visibility and social recognition (Podolny, 2005; Bourdieu, 1993) and

⁵ The Bonacich and Lloyd's centrality measure extends traditional Bonacich (1987) centrality to cases in which ties are not reciprocal and some nodes receive no in-degrees.

assigns a higher score to nodes tied to central nodes; an orchestra's status is higher if other high-status orchestras decide to perform the composers it first introduced.⁶ Because status is a relative measure, we center this variable on its annual mean and standardize it.

We create a similar measure for musical director status, using Bonacich and Lloyd's (2001) centrality scores in the inter-director diffusion network of new composers. In this interpersonal (rather than interorganizational) diffusion network, the nodes are musical directors in charge of concert programming decisions, and the values of the ties are calculated as functions of the number of new composers introduced by musical director *i* and later performed by musical director *j*, as well as how soon musical director *j* adopted those new composers.⁷

In the same way that centrality scores derived from the ordering of positions on 'tombstone' advertisements are not a direct measure of the status of investment banks, but reveal and reinforce their status positions (Podolny 2005), or the joint regional affiliation of wineries is a cause and a consequence of the status hierarchy between appellations (Benjamin and Podolny, 1999), the centrality of orchestras and musical directors measured from diffusion networks of new composers is a proxy for, rather than a direct measure of, their status. Its validity is well supported by qualitative evidence about the field. Lebrecht (2001:9) confirms that "one measure by which a great conductor can be assessed is the new music that he [has] brought into being." Obsessed with the need to display their influence on the field

⁶ This measure of centrality **C** verifies **C** = α **RC** + 1, where **R** is the cumulated diffusion matrix (r_{ij} is the total number of composers adopted by orchestra *j*, introduced by orchestra *i*), and α is a scalar smaller than the inverse of l_0 , the largest eigenvalue of **R**. Higher values of α give higher centrality scores to nodes with out-degrees to highly central nodes. We use $\alpha = .75/l_0$, but the results remained unchanged when we used values of α between .5 and .99.

⁷ Musical director status is positively but imperfectly correlated with orchestra status (r = .51, Table 1), because the trajectories of orchestras are not tied solely to the careers of one conductor. Musical directors retire or die (in which case they disappear from the diffusion network) or else change jobs, within or outside the United States, and they also accumulate status over multiple tenures. So we analyze the relative importance of two comparable but analytically and empirically distinct status measures.

Academy of Management Journal

("Conductors like the glamour of the premiere. It gives them a page in the history book" noted the composer William Schuman; see Swoboda 1967: 179), orchestras and musical directors concentrate on premieres (in what a critic referred to as a "first performance itch"; *The New York Times*, April 2, 1944) and compete with one another to secure new performances first.⁸ The prospect of performing a composer after his or her works had already been premiered was less appealing to orchestras and musical directors, and involved both a recognition of the quality of the composer as well as an acknowledgement on their part of the quality of the programing choices of their peer who had secured the premiere. In turn, being selected by high-status orchestras or musical directors offers a critical channel through which budding composers become recognized and have their work performed subsequently by other orchestras (Kremp 2010).

As control variables, we include the total number of annual performances by each orchestra, to separate the effect of status from performance capabilities. That is, high-status organizations and individuals likely have access to significant resources (donations, audience size), which allow them to schedule more concerts during the season. Finally, we include the length of the musical director's tenure to date as a control variable, because the effect of director status must be distinguished from the effect of experience with a given orchestra.

[INSERT TABLE 1 HERE]

Analyses. We used linear models to predict the alignment and conventionality of each orchestra in the data set for all seasons from 1879 to 1969, with orchestra and musical director fixed effects to account for unobserved, time-invariant heterogeneity between organizations and musical directors. To control for field-level changes in mean alignment and conventionality over time, we used fixed effects for each 10-year period. Results from the

⁸ For example, the rivalry between Stokowski in Philadelphia and Toscanini in New York centered on securing premieres of works by Stravinsky and Schoenberg (Kupfenberg 1969).

fixed-effect models can be interpreted as within-orchestra, within-director, and within-decade estimates. Any variable omitted from the model therefore would need to be a time-varying orchestra or director characteristic affecting alignment or conventionality, and correlated with individual or organizational status, for it to affect our results. Our predictors are lagged by one year, which limits the risk that reverse causality might bias our results. We also introduced a lagged dependent variable as a robustness check in one model specification.

Although the New York Philharmonic was founded in 1842, no status measure can be calculated until at least two orchestras were active in the field; the next major orchestra founding only came with the creation of the New York Symphony in 1878, with others following soon after, such as the Boston Symphony in 1881 and the Chicago Symphony in 1891. Our analyses thus focus on the years 1879–1969.

RESULTS

Table 2 contains the estimates from the linear models of repertoire alignment using fixed effects for each orchestra, each musical director, and each decade. Model 1 includes control variables only, showing both director's tenure and the number of performances per season tend to increase alignment. Model 2 adds the linear and quadratic effect of organizational status, both of which are significant and have expected signs, which supports Hypothesis 1 - that the relationship between organizational status and alignment has an inverted U shape. Orchestra repertoire alignment is higher when orchestras are located toward the middle of the status distribution (about 0.85 of a standard deviation above the mean⁹).

⁹ While this result could seem at odds with a spontaneous definition of middle status as "mean" status, it is consistent with prior literature on the antecedents of norm conformity. Phillips and Zuckerman (2001) find that conformity pressures are highest for law firms whose status is located 1.3 standard deviations above the mean, and investment banks whose status is 0.9 standard deviations above the mean. In both cases, middle status is defined not as mean status, but as a middle point in the status hierarchy, that is about equidistant between the highest- and lowest-status actors in the organizational field.

Academy of Management Journal

Models 3 and 4 help us refine this finding, in that they indicate no significant quadratic relationships between musical director status and orchestra repertoire alignment. In Model 4, the curvilinear relationship between orchestra status and alignment persists when we add musical director status into the model (although the coefficient on the quadratic term for orchestra status only differs from 0 at the p = .10 level). Our models are therefore consistent with Hypothesis 3a: conformity through alignment is more the product of organizational than of individual responses to the risk of negative sanctions.

[INSERT TABLE 2 HERE]

Table 3 presents the fixed-effect models of orchestra conventionality that test Hypothesis 2. The results differ sharply from our findings with the alignment model: in particular, Model 6 fails to show that middle-status orchestras have, on average, any propensity to adopt more conventional repertoires than other orchestras. In contrast, Model 7 indicates that middle-status musical directors tend to favor conventional programing choices while high- and low-status musical directors underperform popular composers (there is a statistically significant negative coefficient on the quadratic director status variable), which supports H2. Including terms for musical director and orchestra status in the same model (Model 8) confirms these results, and supports H3b: conventionality as a response to positive rewards associated with quality signaling is more sensitive to individual than to organizational status.

These result are consistent with the mechanisms underlying H2: all things equal, middle-status musical directors seek quality recognition and therefore choose to perform works of the most popular composers, as audiences can more readily evaluate and compare the quality of performances. On the other hand, high- and low-status musical directors tend to downplay these choices, although for different reasons: high-status actors tend to disregard conventions and emphasize rare programing choices, while low-status actors seek to avoid potentially unflattering comparisons with more renowned peers. If this interpretation is correct, that low status actors avoid quality comparison more than high status actors, the repertoires of low-status musical directors should overlap and align significantly less on average than those of high-status directors with the repertoires of other orchestras. We tested this prediction by comparing the repertoire choices of musical directors with status scores in the bottom third and top third of the status distribution against other orchestras' repertoires. The former had a 49.8% overlap and 3.9 alignment score with other orchestras' repertoires, compared with a 55% overlap and 4.17 alignment score for the repertoires of musical directors in the top third of the status distribution. These differences are statistically significant at the p=.001 level (Welch two-sample t-test, t= -7.76 for overlap; t=-5.92 for alignment) which corroborates our interpretations of results from Table 3 and support the idea that low status directors seek to avoid quality comparisons.

[INSERT TABLE 3 HERE]

Table 4 presents the robustness checks for the alignment and conventionality models. Model 9 tests the robustness of our findings by adding a lagged dependent variable as a predictor in the alignment model. The quadratic effect on orchestra status remained observable in the data. Because random-effect models offer more efficient (though possibly biased) estimates than fixed-effect models, Model 10 replaces the orchestra and director dummy variables with a two-way random intercept specification, but the results remained unchanged in direction and statistical significance. The null finding regarding the effect of musical director status thus cannot be attributed simply to the efficiency properties of our fixed-effect models. Finally, because the status measure used in our analysis gives an advantage to orchestras and directors that premiere high numbers of new composers works,

Academy of Management Journal

Model 11 tests the performance of the alignment model when including, on the right-hand side of the equation, quadratic and linear terms on the cumulative numbers of new composers introduced by orchestras and directors. Although the statistical significance of the coefficient on the orchestra's status quadratic term decreases, the model yields a small and statistically non-significant increase in the likelihood-ratio statistic relative to Model 4 (chi-square statistic = 7.534, for 4 additional degrees of freedom; *p*-value = .110), which allows us to retain the more parsimonious Model 4. Our measure of status is therefore sufficient to account for differences in alignment.

The findings of the conventionality model remain qualitatively unchanged (in sign and statistical significance), even when we include a lagged dependent variable in the model (Model 12) or use a random-effect specification (Model 13). Model 14 adds cumulative innovation as a predictor to the model, but our results remain unchanged: middle-status directors appear to be more conventional on average; differences in conventionality among middle and high- or low-status directors are not simply attributable to differences in their propensity to premiere new composers.

[INSERT TABLE 4 HERE]

Additional Analyses. As an extension of our test of Hypotheses 3a and 3b, we also checked for the presence of interaction effects between director and orchestra status in the alignment and conventionality models in Table 5. Regarding alignment, Phillips and Zuckerman discuss the possible "mitigation of the insecurity" felt by middle-status individuals working at high-status organizations (2001: 418). We could therefore predict that high-status orchestras provide musical directors greater protection against negative evaluations, while low-status orchestras are unable to buffer these penalties. As a consequence, although models 3 and 4 do not detect any significant effect of individual status on alignment on average (consistent with

Hypotheses 1 and 3a), this result could hide substantial heterogeneity in individual status effects across orchestras: if high-status orchestras buffer penalties, the effect of individual status on alignment should be more pronounced in low-status orchestras. Still, we find that the interaction terms between individual status and linear orchestra status are not statistically significant: our models do not detect any buffering effect of organizational status on alignment (Models 15 and 16).

However, according to the conventionality model (Model 18), organizational status moderates the curvilinear effect of individual status on conventionality.¹⁰ Figure 3 represents the director status effect graphically in low-, medium- and high-status orchestras. Although the relationship between director status and conventionality retains its inverted-U shape, we observe that high-status directors behave significantly more conventionally in high-status orchestras. This result can be interpreted as showing that although orchestra status does not buffer penalties incurred by directors in case of misalignment, it could amplify the rewards that they are able to reap through conventionality. Hence, in high-status orchestras, musical directors have greater incentives to compete on performance quality as this strategy guarantees outsized rewards. Table 6 offers more detail on the director's impact on conventionality (presented in Figure 3) by showing predicted conventionality levels by musical director and orchestra status, along with the statistical significance of the difference in predicted conventionality between low-/high- and middle-status directors. This table shows that as orchestra status increases, high-status directors and, to a smaller extent, low-status directors start adopting more conventional programs.

¹⁰ Model 17 includes interactions between orchestra status and both linear and quadratic terms of director status. This model fails to estimate the interaction terms with sufficient precision, and does not increase model fit significantly relative to Model 18 (Chi-squared statistic = 0.108 with 1 additional degree of freedom, p = .742), a more parsimonious model, which includes only one interaction between orchestra status and the quadratic term of director status.

[INSERT TABLES 5 & 6 HERE]

[INSERT FIGURE 3 HERE]

Finally, although alignment and conventionality are not empirically correlated (Table 1), they are conceptually linked: as alignment increases, it becomes more difficult for organizations to exhibit extreme (high or low) levels of conventionality. As a consequence, we expect a negative moderation of orchestra repertoire alignment in the relationship between director status and conventionality. Table 5 shows that the coefficient of the interaction is negative and marginally significant in Model 19. The predicted effect of musical director status on conventionality along with its 90-percent confidence interval is shown in Figure 4, at various levels of repertoire alignment (low, medium and high alignment at the 10th percentile, mean value and 90th percentile, respectively). The effect of a musical director's status on conventionality is comparatively greater (i.e., has a more pronounced inverted U-shaped curve) in imperfectly aligned repertoires; it becomes less important for highly aligned repertoires, which stick closely to average programing choices and thus offer little room for directors' personal bias or initiative. This result is confirmed in Table 6 bottom panel: high and low-status individual leaders adopt noticeably and significantly less conventional repertoires than middle-status leaders when their organizations are misaligned; but in highly aligned organizations, our model cannot predict any significant differences in conventionality between high-, middle-, and low-status leaders.

[INSERT FIGURE 4 HERE]

DISCUSSION AND CONCLUSION

In this paper, we identify the distinct influences of status on the ways in which organizations become more aligned or conventional -- two forms of conformity that were

conflated in prior works. In doing so, we suggest that organizations conform in two ways: by adopting entire sets of attributes (i.e., traits and behaviors) to look like their peers and blend in; and by focusing on a limited number of salient attributes critical to the definition of their field or industry. We relate organizational and individual status to each form of conformity and disentangle some relationships that have remained confounded in prior studies. By analyzing major U.S. symphony orchestras and their programming norms over 90 years, we find that alignment is more likely for middle-status organizations while conventionality is driven by middle-status leaders. Our distinction between alignment and conventionality contributes to three literatures.

First, the decomposition of the two forms of conformity helps map out different mechanisms (penalty avoidance and quality comparison; attribution biases and motivational asymmetry) and where they are most likely to be at play. We expand the middle-status conformity theory, relax a constraint of the two-stage model (membership identification followed by assessment) and provide a model that applies to cases in which audiences evaluate alignment and conventionality concurrently. We show that the mechanisms leading to conformity are not activated identically for alignment and conventionality and for high-, medium- and low-status leaders and organizations. Conformity is not only a response to the organizational imperative to avoid sanctions; it is also a way for individual leaders to orient their choices and focus organizational resources on some salient attributes of their field or industry and reap a large share of the benefits from distinctiveness. Therefore, a possible answer to the trade-off between conformity and distinctiveness that opened this paper is that conventionality enables organizations to conform to norms and expectations and leaves room for misalignment and innovation. Nevertheless, as alignment increases, there are less opportunities for individual leaders to adopt conventional choices.

Academy of Management Journal

Second, by studying the influence of individual and organizational status on organizational outcomes concurrently, we contribute to the status literature. Whereas existing status studies define and test status mechanisms separately at the individual level (Rossman, Esparza, and Bonacich, 2010; Battilana, 2011; Bendersky and Hays, 2012; Bendersky and Shah, 2012), or at the organizational level (Castellucci and Ertug, 2010, Zhao and Zhou, 2011, Cowen 2012), our research responds to the call for more studies to analyze individual and organizational status effects jointly. Taken together, for instance, our results show that the programming choices of middle-status organizations exhibit greater alignment, while the choices of middle-status directors are more likely to be conventional. These findings are consistent with the idea that programming decisions are guided not only by the individual motivations of musical directors but also by the organizational constraints faced by orchestras. In other words, to the extent that musical directors exercise their discretion over orchestra programming choices, their decisions are a function of their personal interests (to seek positive rewards) and their responsibility toward the organization (to avoid negative sanctions).¹¹ The combination of alignment and conventionality in response to conformity pressures helps individual decision-makers resolve the tension between their interests and the interests of their organization. Beyond highlighting the need to distinguish between individual and organizational-level status mechanisms, our additional findings (Table 5) delineate ways in which status effects can interact across levels of analysis. In particular, our analyses show that orchestra status moderates the curvilinear effect of director status on conventionality: organizational status appears to amplify the rewards reaped by individual leaders. This paper

¹¹ For example, the Chicago Symphony orchestra's middle status in the 1890s called for aligned programming (Levine, 1988: 119; Otis, 1924). However, the status of its musical director, Theodore Thomas, pushed the Chicago Symphony's repertoire toward unconventional choices. Indeed, the recognition that Thomas benefitted from could allow him to make more unconventional decisions than another musical director, but he still needed to attend to his organization's fundamental need to be recognized as a major orchestra and therefore emphasized the breadth of his repertoire as a way to stay aligned with other orchestras.

thus offers a first step toward an integrated view of status effects on organizational conformity or deviance.

Third, existing research primarily approaches conformity by investigating membership norms and the categorical imperative—that is, the compelling need for organizations to coalesce around the typical attributes of the category of which they claim to be members (Hannan et al., 2007; Hsu, 2006; Hsu et al. 2009; Negro et al., 2010; Ody-Brasier & Vermeulen, 2014). The study of membership norms provides a persuasive answer to the fundamental question of what makes organizations so similar (DiMaggio & Powell 1983; Hannan et al., 2007; Phillips et al, 2013), but it should not obscure the fact that, beyond membership, norms allow for observable variations between organizations and thus opportunities for distinction within fields and industries. When organizations exhibit low levels of "grade of membership" in their category, or deviate from their category's prototype, they could still be striving to achieve conventionality, rather than simply disregarding the categorical imperative. Therefore, this paper sets the stage for rethinking our understanding of conformity beyond the "golden cage" of categorical membership norms within which organizations are held (Durand & Paolella, 2013, Wry, Lounsbury & Jennings 2014).

Hence, our study invites a re-examination of existing theories of the consequences of conformity and deviance, which appear mis-specified or incomplete when we consider the combined presence of alignment and conventionality. These theories effectively emphasize the influence of organizational characteristics on conformity (or deviance) through fear of sanctions, but fail to consider the extent to which organizations, guided by individual actors, choose to over- or underrepresent salient attributes (i.e., iconic or recognizable manifestations of normal behaviors) in their efforts to pursue positive rewards and social recognition. Thus, the extant literature may have underplayed the importance of the search for social and quality

Academy of Management Journal

comparison (or its avoidance by low-status actors) in the study of the consequences of conformity.

Empirically, our operationalization of alignment and conventionality has traction, as our results show that the role of status varies with the actor (organization or individual) and the form of conformity. In most prior studies, measures of norm conformity rely on comparisons of the frequencies of behaviors over time, which provide proxies for alignment. We demonstrate the need for complementary measures, such as the tendency to adopt highly salient attributes (i.e., conventionality). In addition, our definitions of alignment and conventionality remain agnostic about the precise nature of prescribed behaviors or choices; the resulting measures do not rely on any *ex ante* definition of what constitutes the musical canon. Instead, the prescriptive elements of the norm derive from our data, not any *a priori* criterion as it is unfortunately common in many evolutionary studies (Durand, 2006: 134-35). Therefore, this study paves the way for further explorations of other antecedents of conformity and their consequences (i.e., reputation, performance, and strategic behavior), at both organizational and individual levels, in a wide range of normative contexts.

Although our findings support our theoretical intuition, future research should replicate these findings in other fields with supplementary characteristics, such as technical evolutions or competing institutional logics, which are likely to have a strong influence on norm evolution. Three avenues seem especially promising. First, different audiences may set different normative expectations (Pontikes, 2012; Rossman & Schilke, 2014); thus, a refinement of our model could test for the importance of audience heterogeneity as a determinant or moderator of organizational alignment and conventionality. Second, individual or organizational characteristics other than status might affect individual and organizational responses to negative sanctions and positive rewards, which in turn could influence alignment and conventionality. Third, although the literature has recently recognized the importance of integrating the levels of analysis when studying norm evolution (Wright & Zammuto, 2013), our analysis does not attempt to explain norm dynamics and considers only conformity with a contemporaneous norm. Medium- to fast-paced changes in normative environments lead to questions regarding the discrepancies between the historical norms and contemporary imperatives to which organizations must conform; research into these discrepancies could refine further our understanding of the antecedents and the consequences of norm conformity.

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Table 1. Descriptive Statistics and Correlation Matrix

	Mean	SD	Min.	Max.	(1)	(2)	(3)	(4)	(5)	(6)
(1) Alignment	4.06	0.50	2.19	5.30	1.00					
(2) Conventionality	0.96	0.21	0.32	1.91	0.02	1.00				
(3) Orchestra status	0.05	0.97	-1.61	2.78	0.43	-0.14	1.00			
(4) Director status	0.09	1.00	-1.19	4.29	0.23	-0.02	0.51	1.00		
(5) Director tenure	6.39	7.06	0.00	42.00	0.16	0.00	0.27	0.63	1.00	
(6) log(performances)	4.14	0.51	0.00	5.11	0.62	-0.03	0.55	0.38	0.25	1.00

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Table 2. Linear Models of Alignment with Orchestra, Director and Decade Fixed	
Effects	

Variable	Model 1	Model 2	Model 3	Model 4
Orchestra status t-1 (standardized) ²		-0.067*		-0.052^
		(-2.433)		(-1.809)
Orchestra status t-1 (standardized)		0.114*		0.143**
		(2.510)		(3.006)
Director status t-1 (standardized) ²			-0.004	0.003
			(-0.374)	(0.279)
Director status t-1 (standardized)			-0.040	-0.072
			(-0.955)	(-1.633)
Log(number of performances t-1)	0.220***	0.212***	0.213***	0.204***
	(6.287)	(6.035)	(6.050)	(5.817)
Director tenure t (years)	-0.004	-0.004	-0.001	0.000
	(-0.993)	(-0.863)	(-0.189)	(0.088)
Orchestra	FE	FE	FE	FE
Musical director	FE	FE	FE	FE
Decade	FE	FE	FE	FE
Likelihood-ratio statistic ¹ (d.f.)		12.371**	5.387^	18.693***
		(df=2)	(df=2)	(df=4)
R^2	0.665	0.669	0.666	0.670

Notes: N = 1090 orchestra-years, including 27 orchestras and 154 musical directors. The *t*-ratios are in parentheses. FE = fixed effects. ^ p < .10. * p < .05. ** p < .01. *** p < .001.¹ Likelihood-ratio chi-squared statistics use Model 1 as a baseline.

Table 3. Linear Models of Conventionality	with Orchestra,	Director an	d Decade Fixed
Effects			

Variable	Model 5	Model 6	Model 7	Model 8
Orchestra status t-1 (standardized) ²		-0.005		-0.001
		(-0.321)		(-0.050)
Orchestra status t-1 (standardized)		-0.019		-0.038
		(-0.725)		(-1.448)
Director status $_{t-1}$ (standardized) ²			-0.019**	-0.020***
			(-3.230)	(-3.358)
Director status t-1 (standardized)			0.069**	0.079**
			(2.920)	(3.215)
Log(number of performances t-1)	0.000	0.000	-0.002	-0.003
	(0.079)	(0.201)	(-0.961)	(-1.034)
Director tenure t (years)	-0.027	-0.027	-0.027	-0.026
	(-1.385)	(-1.392)	(-1.384)	(-1.314)
Orchestra	FE	FE	FE	FE
Musical director	FE	FE	FE	FE
Decade	FE	FE	FE	FE
Likelihood-ratio statistic ¹ (d.f.)		0.893	12.905**	15.510**
-2	-	(df=2)	(df=2)	(df=4)
R^2	0.416	0.417	0.423	0.425

Notes: N = 1090 orchestra-years, including 27 orchestras and 154 musical directors. The *t*-ratios are in parentheses. FE = fixed effects.

 $^{n}p < .10. * p < .05. ** p < .01. *** p < .001.$ ¹Likelihood-ratio chi-squared statistics use Model 5 as a baseline.

		Alignment			Conventional	ity
Variable	Model 9	Model 10	Model 11	Model 12	Model 13	Model 14
Orchestra status $_{t-1}(std.)^2$	-0.051^	-0.038*	-0.025	-0.001	-0.009	-0.008
	(-1.792)	(-2.240)	(-0.756)	(-0.049)	(-0.923)	(-0.421)
Orchestra status t-1 (std.)	0.143**	0.106***	0.152**	-0.038	-0.015	-0.029
	(3.007)	(3.497)	(3.071)	(-1.446)	(-1.002)	(-1.043)
Director status $_{t-1}(std.)^2$	0.004	-0.009	0.013	-0.020***	-0.012*	-0.021**
	(0.327)	(-0.921)	(0.906)	(-3.349)	(-2.333)	(-2.637)
Director status t-1 (std.)	-0.071	-0.008	-0.094	0.079**	0.033^	0.071*
	(-1.616)	(-0.265)	(-1.644)	(3.202)	(1.933)	(2.213)
Alignment t-1	-0.467					
-	(-1.350)					
Past orchestra innovation t-1						
$(std.)^2$			-0.030			0.024
			(-0.635)			(0.896)
Past orchestra innovation t-1 (std.)			-0.198^			-0.071
			(-1.945)			(-1.245)
Past director innovation $_{t-1}$ (std.) ²			-0.011			0.003
			(-0.706)			(0.283)
Past director innovation t-1 (std.)			0.010			0.019
			(0.186)			(0.628)
Conventionality t-1				0.001		
				(0.023)		
Director tenure t (years)	0.000	-0.001	0.005	-0.003	-0.001	-0.003
	(0.028)	(-0.480)	-0.025	(-1.302)	(-0.808)	(-1.013)
Log(number of performances t-1)	0.256***	0.281***	0.182***	-0.026	-0.022	-0.032
	(4.934)	(9.244)	(4.93)	(-1.313)	(-1.386)	(-1.558)
Orchestra	FE	RE	FE	FE	RE	FE
Musical director	FE	RE	FE	FE	RE	FE
Decade	FE	FE	FE	FE	FE	FE
Likelihood-ratio statistic ¹ (d.f.)	20.900*** (df=5)	10.156* (df=4)	26.227*** (df=8)	15.510** (df=5)	20.701*** (df=4)	20.171*** (df=8)
R^2	0.671	-	0.673	0.425	-	0.427

Table 4. Robustness C	hecks: Alignment and	Conventionality Models.

Notes: N = 1090 orchestra-years, including 27 orchestras and 154 musical directors. The *t*-ratios are in parentheses. FE = fixed effects, RE = random effects.

 $^{\wedge} p < .10. * p < .05. ** p < .01. *** p < .001.$

¹Likelihood-ratio chi-squared statistics for all fixed-effect models use Model 1 and 5 as a baseline; the deviance of Model 10 and 13 are compared to equivalent random-effect models including only control variables and decade dummies.

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	Alig	nment	Conventionality			
Variable	Model 15	Model 16	Model 17	Model 18	Model 19	
Orchestra status t-1 (std.) ²	-0.078*	-0.057^	-0.012	-0.009	-0.001	
	(-2.243)	(-1.941)	(-0.619)	(-0.543)	(-0.049)	
Orchestra status t-1 (std.)	0.133**	0.139**	-0.045^	-0.044	-0.022	
	(2.760)	(2.920)	(-1.657)	(-1.634)	(-0.804)	
Director status $_{t-1}$ (std.) ²	-0.006	-0.007	-0.035***	-0.036***	-0.021**	
	(-0.317)	(-0.420)	(-3.626)	(-3.670)	(-3.337)	
Director status t-1 (std.)	-0.082^	-0.071	0.079**	0.081*	0.070**	
	(-1.816)	(-1.602)	(3.140)	(3.295)	(2.826)	
Dir. status $t_{t-1}^2 \times \text{Orch. status}_{t-1}$	-0.002	0.006	0.007	0.009*		
	(-0.228)	(0.762)	(1.240)	(2.006)		
Dir. status $_{t-1}$ × Orch. status $_{t-1}$	0.038		0.006			
	(1.125)		(0.299)			
Alignment _t (standardized)					-0.027*	
0					(-2.503)	
Dir. status t_{t-1}^2 × Alignment					0.008	
					(1.562)	
Dir. status _{t-1} × Alignment _t					-0.025^	
					(-1.856)	
Log(number of perf. t-1)	0.209***	0.207***	-0.003	-0.003	-0.002	
((5.915)	(5.864)	(-1.118)	(-1.116)	(-0.956)	
Director tenure $_{t}$ (years)	0.0002	0.0003	-0.021	-0.021	-0.016	
	(0.047)	(0.057)	(-1.072)	(-1.089)	(-0.786)	
Orchestra	FE	FE	FE	FE	FE	
Musical director	FE	FE	FE	FE	FE	
Decade	FE	FE	FE	FE	FE	
Likelihood-ratio statistic ¹ (chi-	20.930**	19.397**	20.481**	20.372***	25.372***	
squared)	(df=6)	(df=5)	(df=6)	(df=5)	(df=7)	
R^2	0.671	0.671	0.427	(u1=3) 0.427	(u1=7) 0.430	

 Table 5. Additional Analyses: Moderation of Director Status Effect in

 Alignment and Conventionality Models.

Notes: N = 1090 orchestra-years, including 27 orchestras and 154 musical directors. The *t*-ratios are in parentheses. FE = fixed effects; RE = random effects. $^p < .10$. *p < .05. **p < .01. ***p < .001. ¹Likelihood-ratio chi-squared statistics compare models to equivalent models including only control

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Table 6. Predicted Conventionality by Musical Director Status in Models with Alignment and Orchestra Status Interactions.

	Mean predicted conventionality					
	Low-status directors	Middle-status directors	High-status directors			
Orchestra status (Model 18)						
Low	0.860**	0.999	0.629**			
Medium	0.867**	1.019	0.739**			
High	0.882**	1.061	0.973*			
Orchestra alignment (Model 19):						
Low	0.839***	1.056	0.870*			
Medium	0.883**	1.032	0.915*			
High	0.924	1.010	0.958			

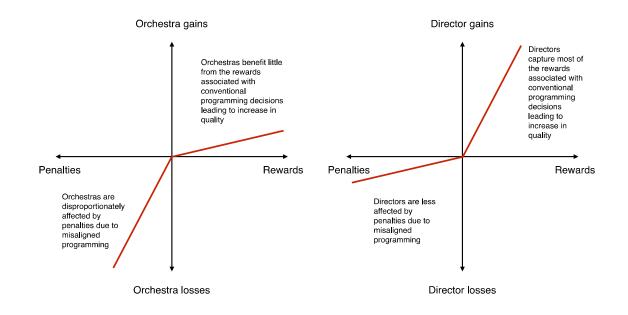
Predicted conventionality values from Models 18 and 19 for a hypothetical orchestra, taking averaged fixed effects and control variables. For low and high-status directors, stars ($^p < .10$, $^* p < .05$, $^* p < .01$, $^{***} p < .001$) indicate the probability Pr{predicted difference > 0}, *i.e.* the probability that low-or high-status directors are predicted as more conventional than middle-status ones, in Model 18 and Model 19.

<u>Technical note</u>: Predicted differences between high/low and middle-status directors are obtained by randomly drawing parameter vectors from the multivariate normal distribution of coefficients on director status variables (quadratic, linear, and interaction terms) estimated in the model (n=10,000 draws). For each draw, conventionality differences were calculated between low-, middle-, and high-status musical directors (status = -1, +1.70, and +4 s.d. from the mean) three orchestra status conditions (orchestra status set respectively at its P10, mean, and P90 value for low, medium and high status) and under three orchestra alignment conditions (orchestra alignment set respectively at its P10, mean, and P90 value for low, medium, and high alignment respectively).

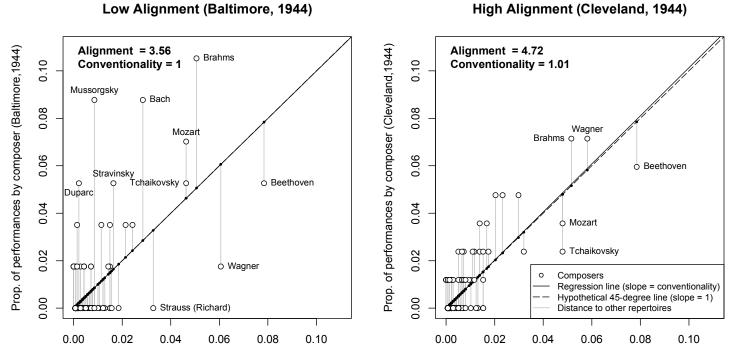
<u>Interpretation top panel</u>: Model 18 predicts that in a low-status orchestra, high-status directors choose repertoires with 0.629 conventionality on average (which differs significantly from the 0.999 conventionality of middle-status directors at the p=.001 level); the predicted conventionality of high-status directors increases to 0.973 in high-status orchestras (which differs from the 1.061 conventionality predicted for middle-status directors, at the p=.05 level).

<u>Interpretation bottom panel</u>: Model 19 predicts that in orchestras with low alignment, high status directors choose repertoires with .870 conventionality on average (which differs significantly from the 1.056 conventionality of orchestra with a mean alignment). At high levels of orchestras' alignment, the conventionality values for different individual leaders' status are no more statistically different.



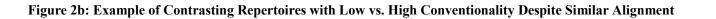


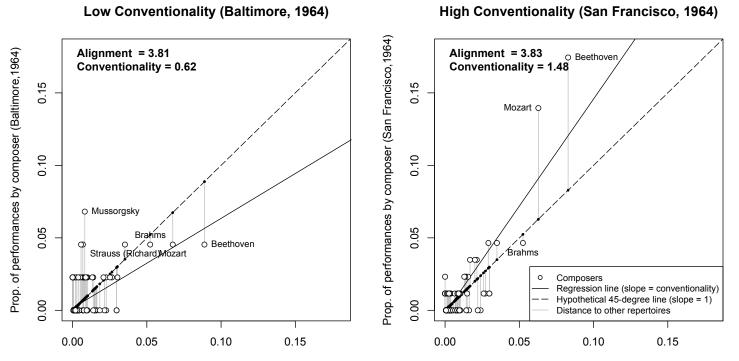




Proportion of performances by composer (all other orchestras, 1944)

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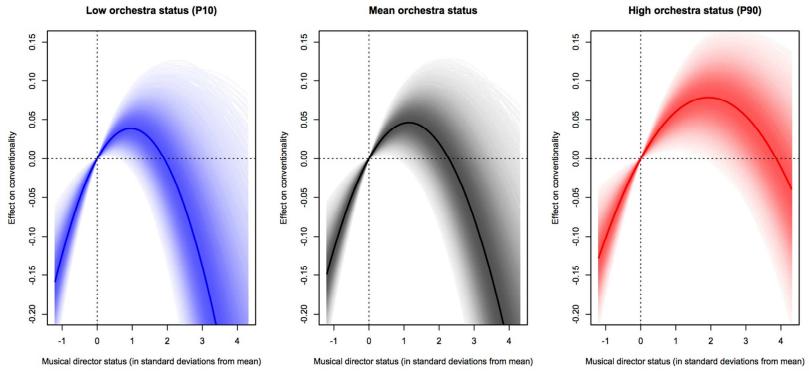




Proportion of performances by composer (all other orchestras, 1964)

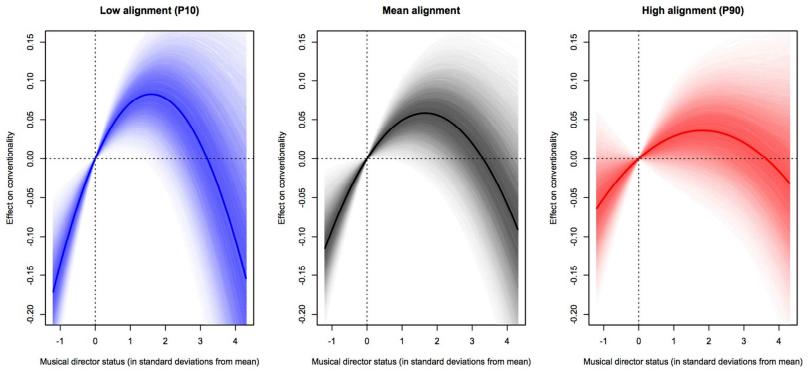
Proportion of performances by composer (all other orchestras, 1964)





Notes: For each level of alignment, the dark and thick curves represent the predicted effect of director status on conventionality according to the point estimates of Model 18 (Table 5). Lighter-colored and thinner curves display quadratic curves constructed from parameter vectors randomly drawn (n = 10,000 draws) from the multivariate normal distribution of coefficients estimated in the model, within a 90% confidence interval. The color intensity of each curve is proportional to the multivariate normal distribution's density estimated at the set of parameters: curves constructed from less likely parameters appear in lighter colors. Therefore, unlike usual representations of predicted curvilinear effects, which only report the mean estimated curve without showing confidence intervals, these figures also include a representation of the uncertainty about our estimates.





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